

**FORM FACTORS and
WIDE-ANGLE EXCLUSIVE
SCATTERING
at LARGE MOMENTUM
TRANSFER**

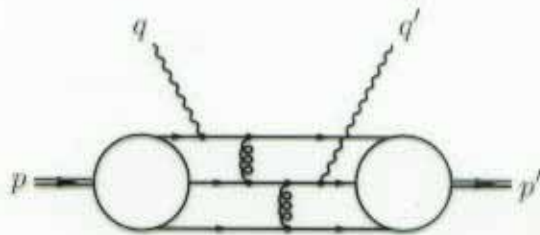
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Pittsburgh, October, 12, 2002*

Outline:

- **Leading-twist vs. handbag factorization**
- **GPDs**
- **Wide-angle Compton scattering**
- **Soft form factors**
- **Comparison with experiment**
- **Excited nucleons**
- **Summary**

Leading-twist factorization



$-t$ ($-u$) large

all valence quarks participate in hard scattering

quarks are moving collinear with their parent hadron

hard process: $\gamma qqq \rightarrow \gamma qqq$

soft physics:

distribution amplitudes

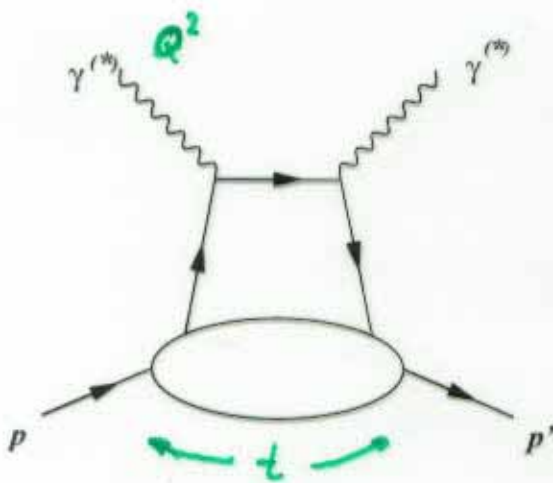
$$\Phi_p = \Phi_p(x_1, x_2, x_3)$$

$$\mathcal{M} \sim \Phi_p \otimes \mathcal{H} \otimes \Phi_p$$

high resolution is required to see three quarks in a proton
onset of this region probably for $-t(-u) > 100 \text{ GeV}^2$

Handbag factorization

(e.g. Compton scattering and corresponding processes)



only one active parton
(others are spectators)

hard process: $\gamma^{(*)}q \rightarrow \gamma q$

soft physics: GPDs

two classes of hard exclusive reactions:

DEEP VIRTUAL Q^2 large $-t/Q^2 \ll 1$

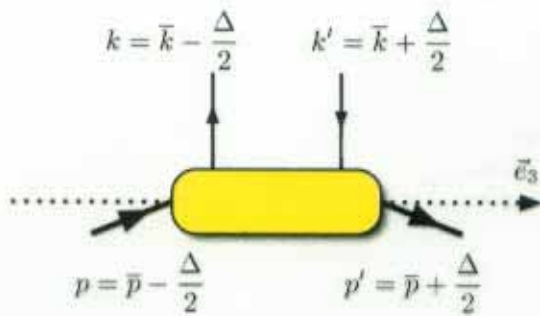
WIDE-ANGLE $-t(-u)$ large $Q^2/(-t) \ll 1$

formally a power correction to leading twist

which scheme dominates at $-t \simeq 10 \text{ GeV}^2$?

Generalized Parton Distributions

D. Müller et al (94), Ji(97), Radyushkin (97)



$$\xi = \frac{(p - p')^+}{(p + p')^+} \quad \bar{x} = \frac{\bar{k}^+}{\bar{p}^+}$$

$$x = \frac{\bar{x} + \xi}{1 + \xi} \quad x' = \frac{\bar{x} - \xi}{1 - \xi}$$

$$\bar{p}^+ \int \frac{dz^-}{2\pi} e^{i\bar{x}\bar{p}^+z^-} \langle p' | \bar{\psi}_q(-\bar{z}/2) \gamma^+ \psi_q(\bar{z}/2) | p \rangle =$$

$$\bar{u}(p') \gamma^+ u(p) H^q(\bar{x}, \xi; t) + \bar{u}(p') i\sigma^{+\alpha} \frac{\Delta_\alpha}{2m} u(p) E^q(\bar{x}, \xi; t)$$

(gauge $A^+ = 0$; $\bar{z} = [0, z^-, \mathbf{0}_\perp]$)

$$\gamma^+ \gamma_5 \longrightarrow \tilde{H}^q, \tilde{E}^q$$

$\xi < \bar{x} \leq 1$ emission and absorption
 of **quarks**
 ($x > 0, x' > 0$)

$-\xi < \bar{x} < \xi$ emission of a **$q\bar{q}$ pair**
 ($x > 0, x' < 0$)

$-1 \leq \bar{x} \leq -\xi$ emission and absorption
 of **antiquarks**
 ($x < 0, x' < 0$)

gluons analogously

Properties of GPDs

- reduction formulas

$$H^q(\bar{x}, 0; 0) = q(\bar{x}) \quad \tilde{H}^q(\bar{x}, 0; 0) = \Delta q(\bar{x})$$

- sum rules

$$F_1^q = \int_{-1}^1 d\bar{x} H^q(\bar{x}, \xi, t) \quad F_1 = \sum_q e_q F_1^q$$

$$E^q \rightarrow F_2^q \quad \tilde{H}^q \rightarrow F_A^q \quad \tilde{E}^q \rightarrow F_P^q$$

- polynomiality

- universality

- evolution ($\bar{x} < \xi$ ERBL; $\bar{x} > \xi$ DGLAP)

- Ji's sum rule

$$J_q = \int_{-1}^1 d\bar{x} \bar{x} [H^q(\bar{x}, \xi, t = 0) + E^q(\bar{x}, \xi, t = 0)]$$

- positivity constraints

- overlap representation

$$H^q(\bar{x}, \xi, t) \sim \sum_N (1-\xi^2)^{(1-N)/2} \int [dx]_N [d^2 k_\perp]_N \Psi_N^* \Psi_N$$

$$-\xi < \bar{x} < \xi \quad N+1 \rightarrow N-1 \text{ overlaps}$$

but we are not able to calculate them as yet

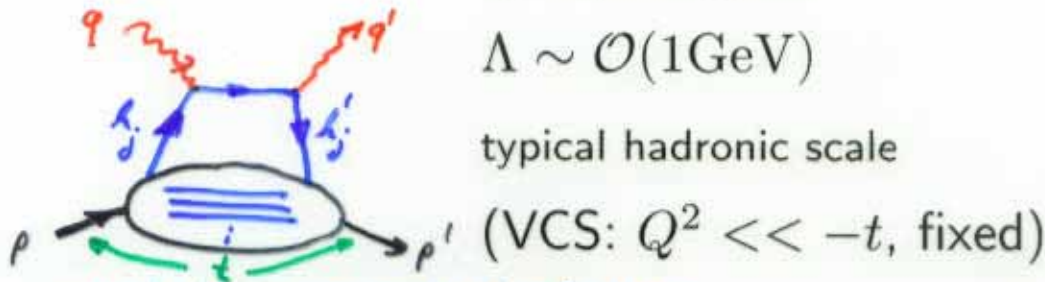
- models
- extraction from experiment
- lattice QCD in the long run

The handbag contribution to WACS

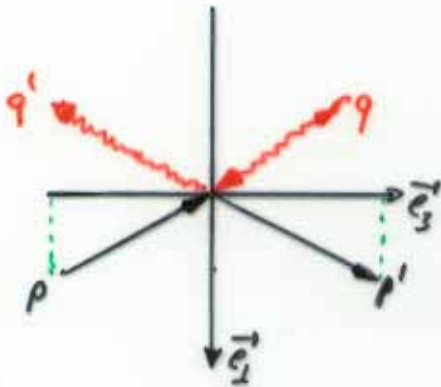
$$s, -t, -u \gg \Lambda^2$$

$$\Lambda \sim \mathcal{O}(1\text{GeV})$$

typical hadronic scale



- work in a symmetric frame



$$p^{(\prime)} = [p^+, \frac{m^2 - t/4}{2p^+}, \pm \Delta_{\perp}]$$

$$\xi = \frac{(p - p')^+}{(p + p')^+} = 0 \quad t = -\Delta_{\perp}^2$$

- definition of soft contribution

- parton virtualities $k_i^2 < \Lambda^2$

- intrinsic transverse momenta $k_{\perp i}^2/x_i < \Lambda^2$

- consequences

$(k_j + q)^2 \simeq (p + q)^2 = s$ propagators poles avoided

$(k_j - q')^2 \simeq (p - q')^2 = u$ (in contrast to DVCS)

active partons approximately on-shell, collinear with their parent hadrons and with $x_j, x'_j \simeq 1$

- physical situation: hard photon-parton scattering and soft emission and reabsorption of partons by hadrons (similar to DVCS)

The Compton amplitudes

$$\begin{aligned}\mathcal{M}_{\mu'+, \mu+} &= 2\pi\alpha_{elm} \left\{ \mathcal{H}_{\mu'+, \mu+} [R_V + R_A] \right. \\ &\quad \left. + \mathcal{H}_{\mu'-, \mu-} [R_V - R_A] \right\} \\ \mathcal{M}_{\mu'-, \mu+} &= -\pi\alpha_{elm} \frac{\sqrt{-t}}{m} \left\{ \mathcal{H}_{\mu'+, \mu+} + \mathcal{H}_{\mu'-, \mu-} \right\} R_T\end{aligned}$$

$R_i(t)$: $1/\bar{x}$ -moments of GPDs $\mathcal{H}(s, t)$: $\gamma q \rightarrow \gamma q$ amplitudes
(at $q=0$)

only + components appear

(it is a dynamical feature in contrast to DVCS and DIS
where p^+ is large)

Radyushkin, hep-ph/9803316; DFJK, hep-ph/9811253

inclusion of R_T : Huang et al, hep-ph/0110208

$\gamma q \rightarrow \gamma q$ to NLO



(a)

$$\mathcal{H}_{++++}^{LO} = 2\sqrt{-s/u}$$

$$\mathcal{H}_{-+-+}^{LO} = 2\sqrt{-u/s}$$



(b)



(c)

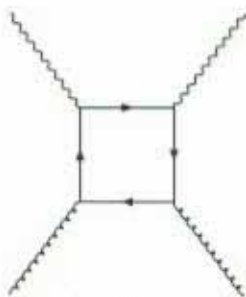
NLO provide
photon helicity flip
and imaginary parts



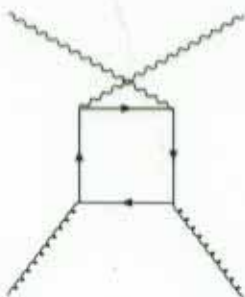
(d)



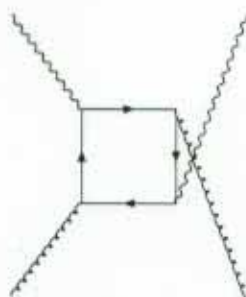
(e)



(a)



(b)



(c)

requires
gluonic GPDs
and
form factors

$\gamma q \rightarrow \gamma q$ to NLO

Feynman gauge,

$$n = 4 + \epsilon$$

UV divergencies cancel

$$\mathcal{H}_{\mu^+, \mu^+}^{IR} = \frac{\alpha_s}{4\pi} C_F C_{IR}(\mu_F) \mathcal{H}_{\mu^+, \mu^+}^{LO} + \mathcal{H}_{\mu^+, \mu^+}^{NLO}$$

C_{IR} embodies IR singularities

$$\begin{aligned} & \mathcal{H}_{\mu^+, \mu^+}(s, t) R_i(t) \\ &= \left[\mathcal{H}^{LO} \left(1 + \frac{\alpha_s}{4\pi} C_F C_{IR}(\mu_F) \right) + \mathcal{H}^{NLO} \right] R_i(t) \\ &= \left[\mathcal{H}^{LO} + \mathcal{H}^{NLO} \right] R_i(t, \mu_F) + \mathcal{O}(\alpha_s^2) \end{aligned}$$

$$C_{IR} = - \left(\frac{-t}{4\pi\mu_F^2} \right)^{\epsilon/2} \Gamma(1 - \epsilon/2) (8/\epsilon^2 - 6/\epsilon)$$

$\sim 1/\epsilon^2$ overlapping soft and collinear divergencies

$$\Rightarrow [\alpha_s \ln^2(-t/\mu_F^2)]^n$$

Sudakov factor

(Collins; Musatov-Radyushkin)

absorbed into form factors (as in elm. case)

NLO corrections in $\gamma e \rightarrow \gamma e$: (Brown-Feynman)

IR divergencies cancel against those of $\gamma e \rightarrow \gamma \gamma e$

Model GPDs

for large $-t$ and x

$$H^q(x, 0; t) = \exp \left[a^2 t \frac{1-x}{2x} \right] q(x)$$

$$\tilde{H}^q(x, 0; t) = \exp \left[a^2 t \frac{1-x}{2x} \right] \Delta q(x)$$

gluons analogue; limit $t \rightarrow 0$ OK

$a \simeq 1 \pm 0.2 \text{GeV}^{-1}$ transverse size of proton

(only free parameter)

based on overlaps of light-cone wave functions
(generalized Drell-Yan formulas for GPDs)

$$\Psi_N(x, \mathbf{k}_\perp) = \Phi_N(x_1, \dots, x_N) \exp \left[-a_N^2 \sum_{i=1}^N k_{\perp i}^2 / x_i \right]$$

in line with central assumption of handbag approach
(restricted $k_{\perp i}^2 / x_i$)

simplifying assumption $a_N = a$ for all N

- Improvements:**
- explicit treatment of lowest Fock states
 - inclusion of evolution
 $a \rightarrow a(t)$, see Vogt hep-ph/0007277
 -

Form factors in the handbag approach

$$F_1(t) = \sum_q e_q \int_{-1}^1 d\bar{x} H^q(\bar{x}, 0; t) \quad \sim q(\bar{x}) - \bar{q}(\bar{x})$$

$$R_V(t) = \sum_q e_q^2 \int_{-1}^1 \frac{d\bar{x}}{\bar{x}} H^q(\bar{x}, 0; t) \quad \sim q(\bar{x}) + \bar{q}(\bar{x})$$

$$F_A(t) = \int_{-1}^1 d\bar{x} [\tilde{H}^u(\bar{x}, 0; t) - \tilde{H}^d(\bar{x}, 0; t)]$$
$$\sim \Delta q(\bar{x}) + \Delta \bar{q}(\bar{x})$$

$$R_A(t) = \sum_q e_q^2 \int_{-1}^1 \frac{d\bar{x}}{\bar{x}} \tilde{H}^q(\bar{x}, 0; t) \quad \sim \Delta q(\bar{x}) + \Delta \bar{q}(\bar{x})$$

exclusive \iff inclusive

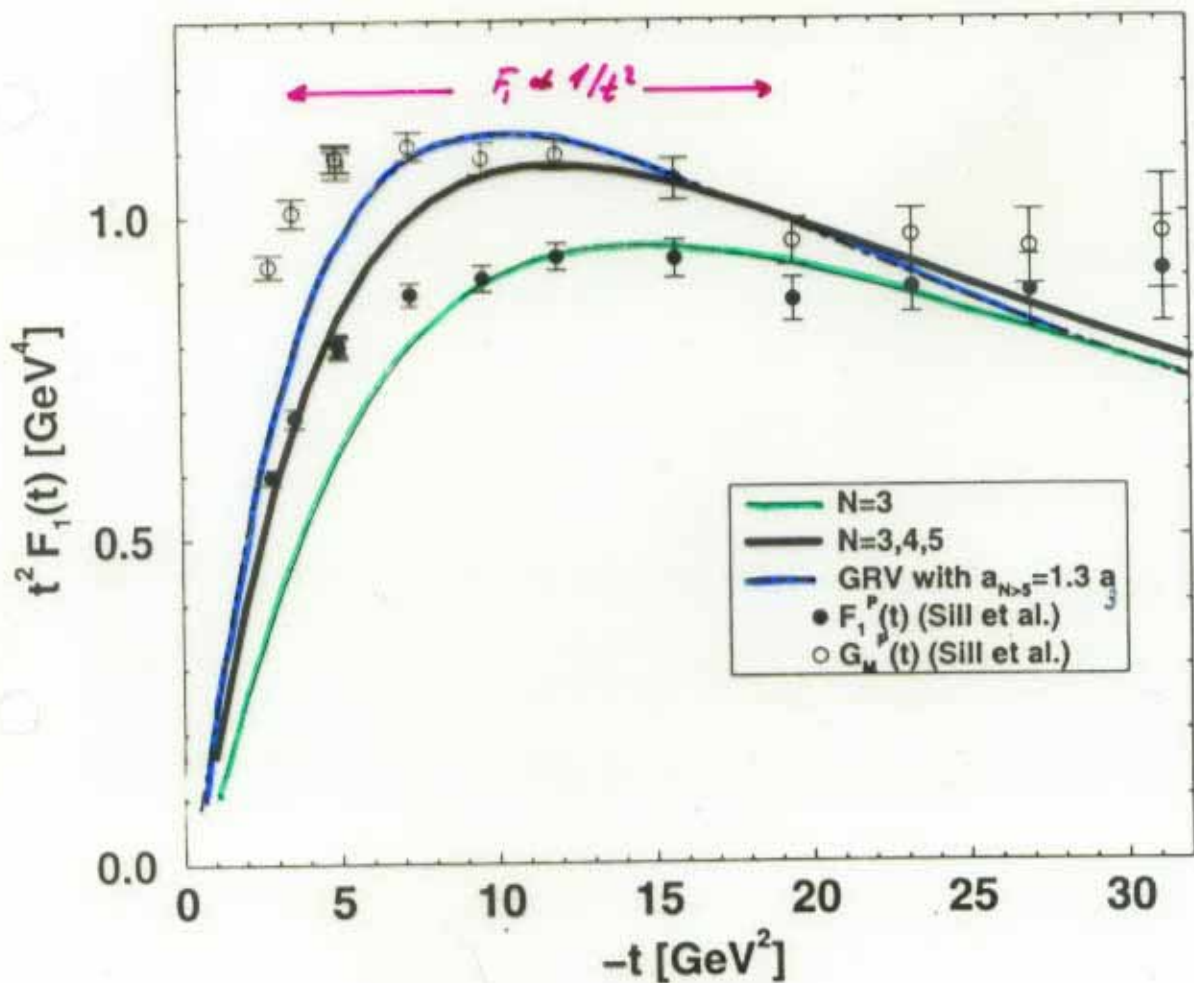
DFJK hep-ph/09811253

F_1, R_V Radyushkin hep-ph/9803316

F_1 Barone et al. (93), Afanasev hep-ph/9910565

DFJKI(99) (similar Radyushkin (98), Afanasev (99), Barone et al (93), Stolar (01), ...)

Proton Form Factor



$F_1 \propto 1/t^4$
 $\int_0^{\infty} \dots$
 (soft physics asymptotics)

leading-twist contr. takes the lead

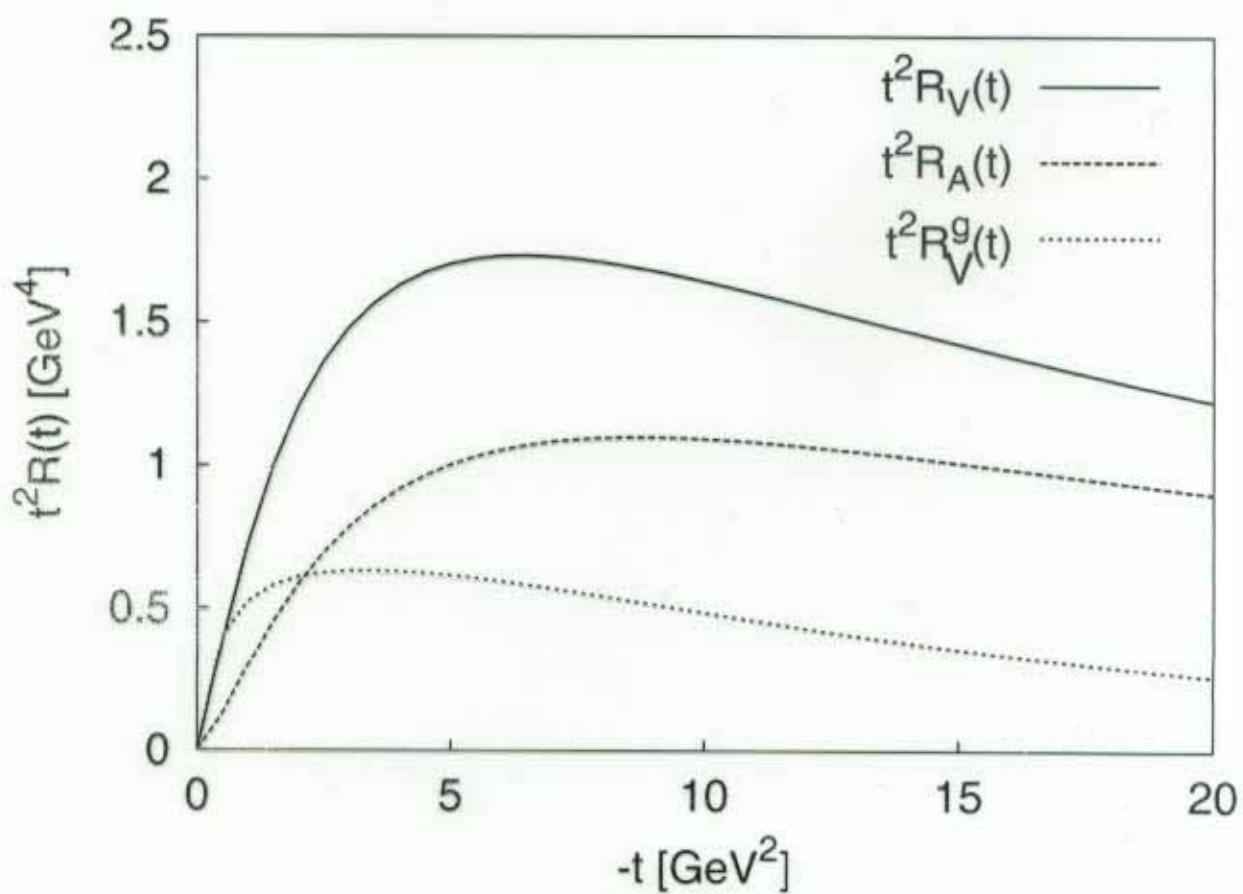
$$a = 1 \text{ GeV}^{-1}$$

$$a_3 = 0.75 \text{ GeV}^{-1}$$

valence Fock state dominates

(with $\phi^{hh} = \dots$)

Numerical results for model form factors



$$t \rightarrow \infty \quad R_i \propto 1/t^4$$

Proton helicity flip

$$F_2(t) = \sum e_q \int_{-1}^1 d\bar{x} E^q(\bar{x}, 0; t), \quad R_T(t) = \sum e_q^2 \int_{-1}^1 \frac{d\bar{x}}{\bar{x}} E^q$$

related to proton helicity flips

E^q involves parton orbital angular momentum

large $-t$: integrals dominated by large \bar{x} and, hence,
by valence u -quarks, $1/\bar{x}$ unimportant

\Rightarrow

$$R_T/R_V \simeq F_2/F_1$$

SLAC(94)-data on $F_{1,2}$: $R_T/R_V \propto \Lambda^2/t$

R_T suppressed by $\Lambda/\sqrt{-t}$

Jlab Hall A data (2000): $R_T/R_V \propto \Lambda/\sqrt{-t}$

²⁰⁰¹ R_T not suppressed

appears natural in overlap representation

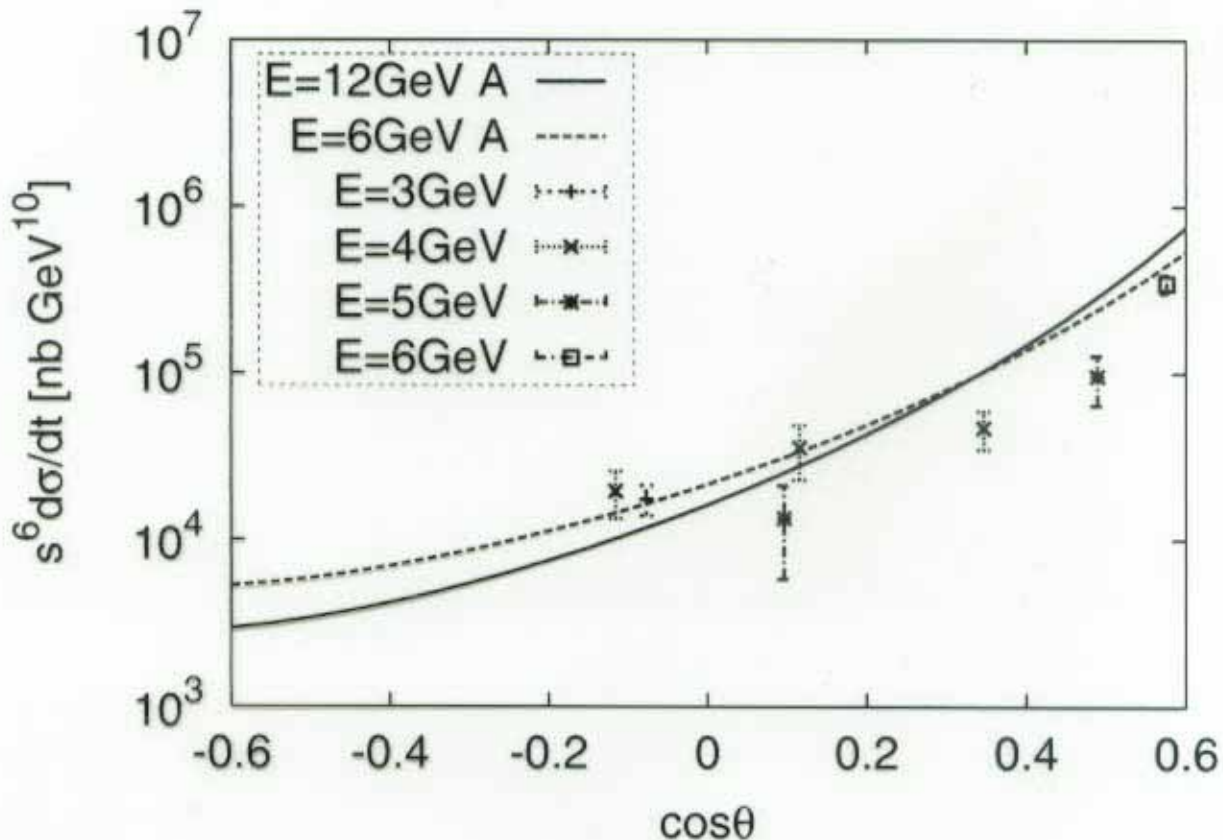
(see also Ralston et al (2000))

Take R_T from Jlab Hall A experiment

$$\kappa_T = \frac{\sqrt{-t}}{2m} \frac{R_T}{R_V} \simeq 0.37$$

in general $\kappa_T(t)$

The Compton cross section



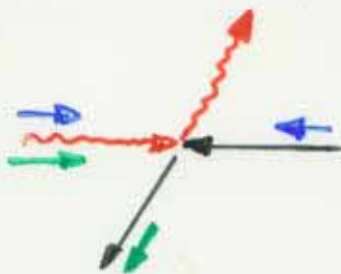
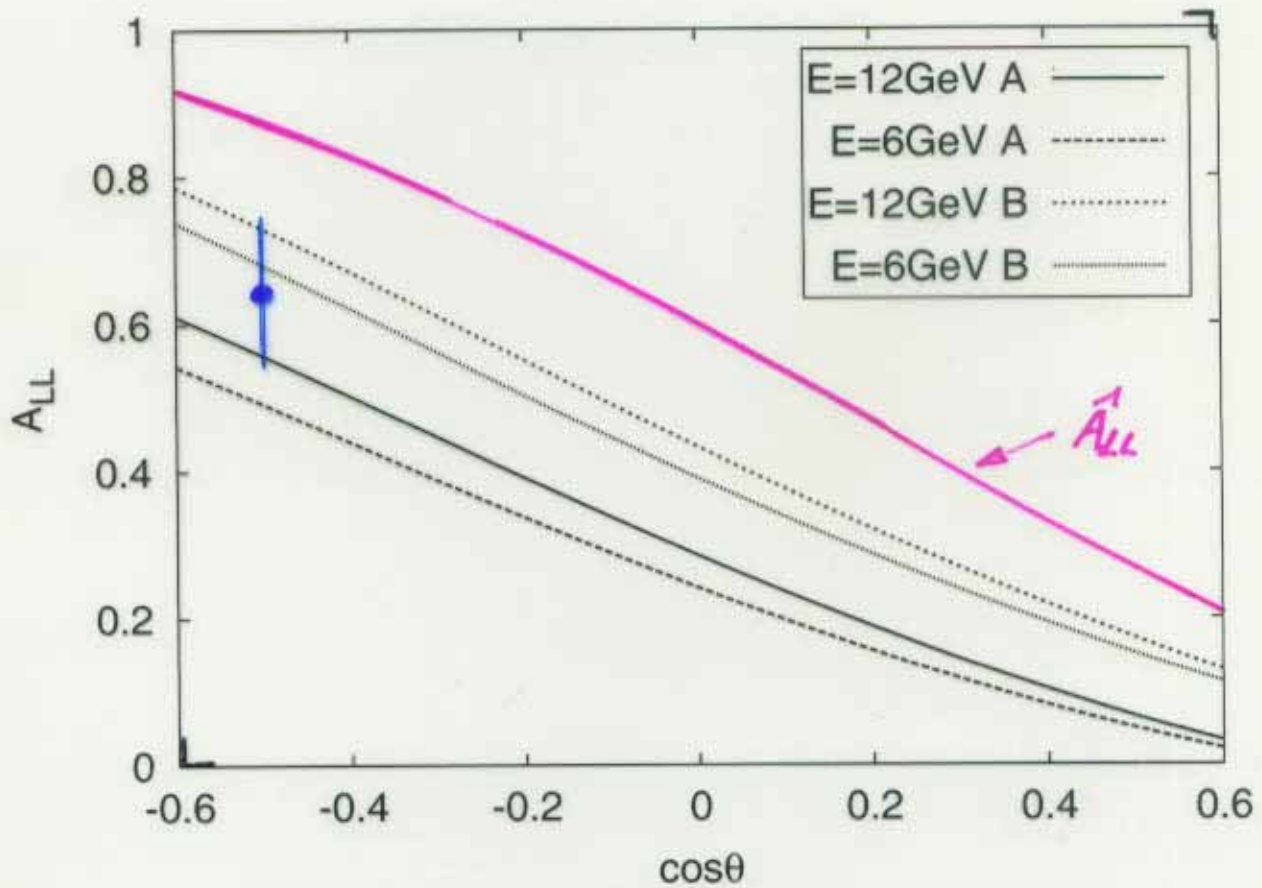
$$\frac{d\sigma}{dt} = \frac{d\hat{\sigma}}{dt} \left\{ \frac{1}{2} [R_V^2 (1 + \kappa_T^2) + R_A^2] - \frac{us}{s^2 + u^2} [R_V^2 (1 + \kappa_T^2) - R_A^2] \right\} + \mathcal{O}(\alpha_s)$$

$\frac{d\hat{\sigma}}{dt}$ Klein-Nishina cross section

if $R_i \sim 1/t^2 \implies d\sigma/dt(\theta = \text{fixed}) \sim 1/s^6$

data: Shupe et al (79)

Helicity correlation A_{LL}

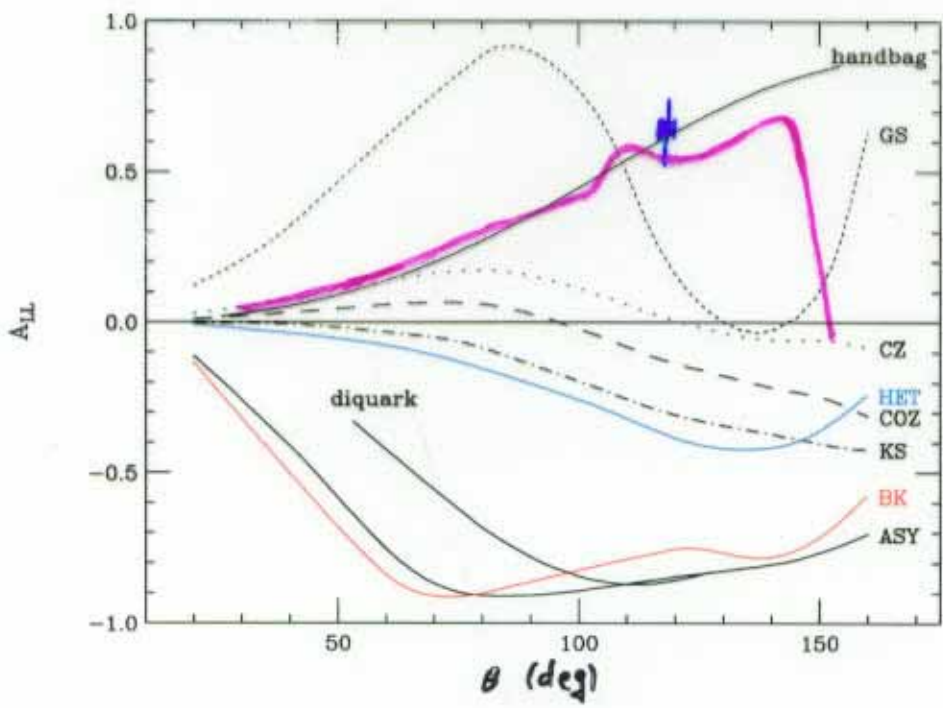
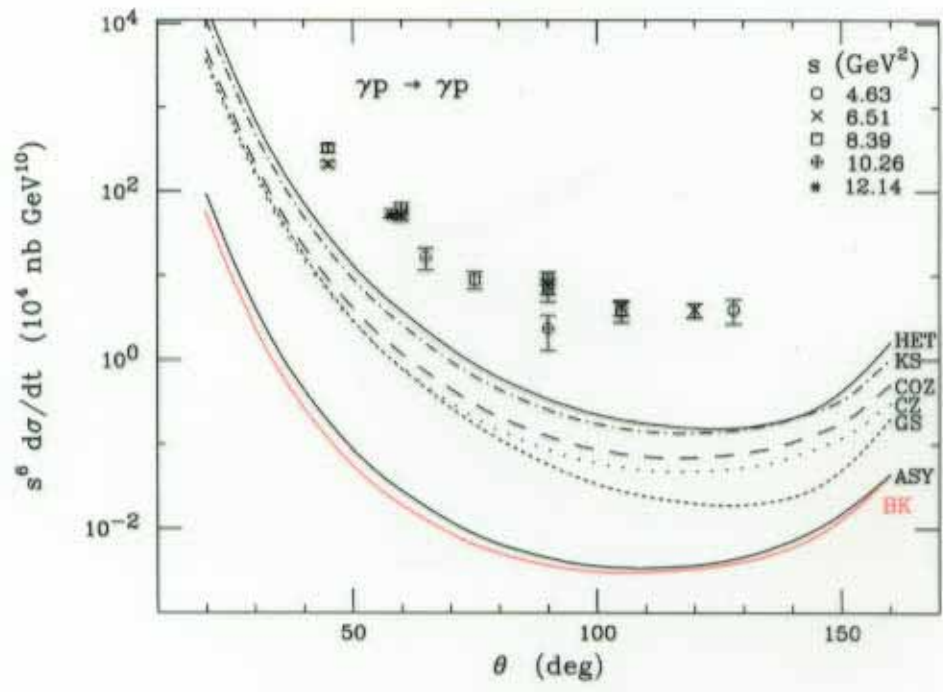


$$\hat{A}_{LL} = \frac{s^2 - u^2}{s^2 + u^2}$$

$$A_{LL} = K_{LL}$$

$$\simeq \hat{A}_{LL} \frac{R_A}{R_V} + \mathcal{O}(\alpha_s, \kappa_T, \beta)$$

Leading-twist results



taken from Brooks and Dixon, hep-ph/0004143

Photo- and electroproduction of π^0

handbag contribution

$$\mathcal{M}_{0+\mu+}^{\pi} = \frac{e}{2} \left\{ \mathcal{H}_{0+\mu+}^{\pi} [R_V^{\pi} + R_A^{\pi}] + \mathcal{H}_{0-\mu-}^{\pi} [R_V^{\pi} - R_A^{\pi}] \right\}$$

$$\mathcal{M}_{0+\mu-}^{\pi} = \frac{e}{2} \left\{ \mathcal{H}_{0+\mu+}^{\pi} + \mathcal{H}_{0-\mu-}^{\pi} \right\} R_T^{\pi}$$

For each flavor: $R_i^{\pi q} = R_i^{\gamma q}$ **universality**

leading twist formation of meson (as in DVEM)



$$\mathcal{H}_{0+++}^{\pi} \simeq \frac{\sqrt{-2t}}{-u}; \quad \mathcal{H}_{0+--}^{\pi} \simeq \frac{\sqrt{-2t}}{s}$$

$$\Rightarrow \hat{A}_{LL}^{\pi} = \frac{s^2 - u^2}{s^2 + u^2}$$

as in Compton scattering

A_{LL}^{π} diluted by form factors

(analog to Compton scattering)

difficulty: photoproduction cross section turns out to be too small

- reasons:**
- handbag correct but leading-twist mechanism underestimates meson production (see π form factor)
 - soft VDM contribution still dominant

experimental check: do data show

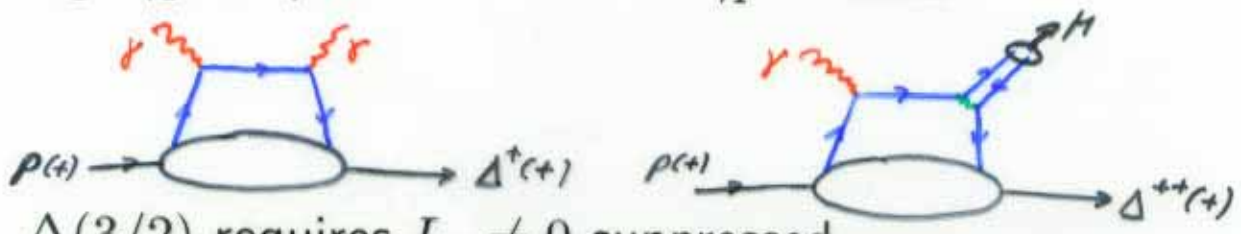
handbag characteristics?

Huang-K., hep-ph/0005318

Excited nucleons in wide-angle scattering

in principle possible, analogously to proton case

e.g. $\gamma p \rightarrow \gamma \Delta$ $\gamma p \rightarrow M \Delta$



$\Delta(3/2)$ requires $L_q \neq 0$ suppressed

$\rho^-(\mp 1) \Delta^{++}(1/2 \pm 1)$ helicity correlations

new GPDs: $H_{p\Delta}^i, \tilde{H}_{p\Delta}^i$ $i = 1, 2, 3$

new form factors: $G_{p\Delta}^i(t) = \int d\bar{x} H_{p\Delta}^i(\bar{x}, \xi, t)$

$$R_{p\Delta}^i = \int d\bar{x} / \bar{x} H_{p\Delta}^i$$

and for \tilde{H} analogously

overlap model possible

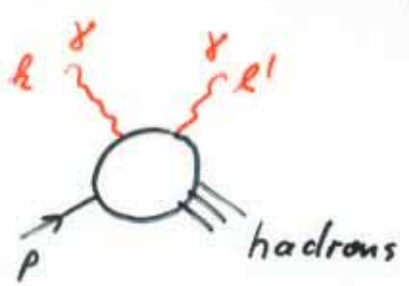
but less restrictive since forward limit unknown

Frankfurt et al (99): large N_c limit provides relations

e.g.
$$\tilde{H}_{p\Delta}^1 = \sqrt{3}(\tilde{H}_u - \tilde{H}_d)$$

sum over several final states Bjorken-Paschos (69)

inelastic Compton scattering (at wide angles)



$$s = 2kp \qquad \nu = (k - k')p/m$$

$$t = 2kk' \qquad u = -s - t$$

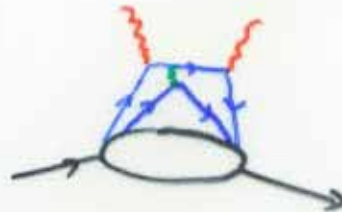
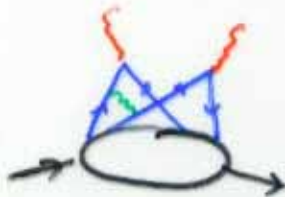
$$\frac{d\sigma}{dt d\nu} = \frac{d\hat{\sigma}^{KN}}{dt} \frac{2m}{-t} \sum_a e_a^4 q_a(x = \frac{-t}{2m})$$

How many active partons
participate in hard exclusive reactions
at momentum transfer of order 10 GeV^2
?

0: only hadronic degrees of freedom
(e.g. Regge poles)

1: handbag

2: cat's ears, diquark correlations



3: leading twist

or more: from higher Fock states

Experiments tell us:

the number of active partons is small

1 seems to be favoured

but 0 is not excluded